

## Appendix 3 – Statutory Recommendation Status Summary July 2022

Statutory Recommendation	Summary	Achievements this month	Milestones due this quarter (June – Aug)
<p>S1 - It is imperative that senior officers and senior members take effective corporate grip of long-standing service issues highlighted by the findings in this report (including SLT, SCT, the waste service, the ERP system, and Lion Farm) and prioritise corporate effort in managing the issues identified and embed the solutions into the Council</p>	<p><b><u>Organisational Culture</u></b> No actions in this theme</p> <p><b><u>Corporate Oversight</u></b> <b>ERP</b></p> <ul style="list-style-type: none"> <li>Initial cohort trained in project management, further training to be arranged if required.</li> <li>Tender evaluation period extended by 3 weeks due to need for financial evaluation clarifications from bidders.</li> </ul> <p><b><u>Strategic Direction</u></b> <b>Strategy Development and Refresh</b></p> <ul style="list-style-type: none"> <li>Regen Strategy and Pipeline: Monitoring of pipeline projects is on track and first update will be available during November. Slippage on procurement of programme management software.</li> <li>Corporate Parenting: Strategic priorities to be confirmed by Corporate Parenting Board ahead of September Board. Corporate parenting strategy due to be approved in September 22 by Board Members</li> </ul> <p><b><u>Decision Making</u></b> <b>Role and function of Scrutiny and Audit</b></p> <ul style="list-style-type: none"> <li>Review of scrutiny arrangements are ongoing and completion due October.</li> </ul>	<ul style="list-style-type: none"> <li>Street Cleansing Recovery Plan considered by Waste Management Board</li> <li>Serco Annual Plan received</li> </ul>	<ul style="list-style-type: none"> <li>Waste and Recycling Recovery Plan Implementation Complete - <b>Achieved</b></li> <li>Street Cleansing Recovery Plan approval - <b>On track</b></li> <li>Option appraisal for future leisure management options - <b>Achieved</b></li> <li>SERCO contract performance reporting embedded in Performance Management Framework - <b>On track</b></li> <li>Scrutiny work Planning event - <b>Complete</b></li> </ul>

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	<ul style="list-style-type: none"> <li>• Scrutiny work plans have been agreed and workplans are in the process of being delivered.</li> <li>• Implementation of recommendations regarding the waste contract and SEND transport is in progress. Slippage relating to Serco annual plans was experienced, but annual plan has now been received.</li> </ul> <p><b><u>Procurement &amp; Commercial</u></b></p> <p><b>Waste Contract:</b></p> <ul style="list-style-type: none"> <li>• Contract Monitoring framework progressing well with an agreed framework in place. Performance will be reported alongside Q1 PMF report to Cabinet.</li> <li>• Work commenced on review of the contract.</li> <li>• Street Cleansing Recovery Plan due considered by Waste Management Board and date for implementation – 1<sup>st</sup> October.</li> <li>• Delayed fleet replacement programme is being delivered</li> </ul> <p><b>SEND Transport:</b></p> <ul style="list-style-type: none"> <li>• On schedule for new contract to commence on 1 September</li> </ul> <p><b>New System Procurement:</b></p> <ul style="list-style-type: none"> <li>• Initial market testing for Performance Management System and demonstration underway</li> <li>• Asset Management System is procured and is being implemented</li> </ul> <p><b>Lion Farm:</b></p>		

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	<ul style="list-style-type: none"> <li>• Action plan being implemented. Some slippage due to legal representatives on both sides taking longer than expected.</li> <li>• Responsibilities for council and developer are clearly set out.</li> </ul> <p><b>Leisure Contract:</b></p> <ul style="list-style-type: none"> <li>• Decision made by Cabinet to transfer services to Local Authority Trading Company (LATC)</li> <li>• Implementation phase has commenced</li> </ul> <p><b><u>Partnerships &amp; Relationships</u></b></p> <p><b>Sandwell Children’s Trust</b></p> <ul style="list-style-type: none"> <li>• SMBC have drafted Key Performance Indicators (KPIs) which are being reviewed by the Trust to include in new Contract</li> <li>• Review of contracts to be concluded in line with Department for Education schedule (Autumn)</li> <li>• Monthly four-way meetings diarised throughout the year.</li> </ul>		
S2 - The Council must ensure that the learning in relation to commercial decisions, procurement and contract management	<p><b><u>Organisational Culture</u></b></p> <p><b>Officer Learning and Development</b></p> <ul style="list-style-type: none"> <li>• A consolidated approach to fundamental training for Managers on Corporate Governance matters including finance and procurement is being developed for delivery Autumn onwards.</li> </ul> <p><b>Member Learning and Development</b></p> <ul style="list-style-type: none"> <li>• New Member Induction Complete</li> </ul>	<p>Approval of:</p> <ul style="list-style-type: none"> <li>• Sale of Land and Buildings Protocol <ul style="list-style-type: none"> <li>○ Procurement and Contract Procedure Rules</li> <li>○ Thresholds for Decisions</li> </ul> </li> </ul>	<ul style="list-style-type: none"> <li>• Budget Holder Role Profile agreed - <b>Achieved</b></li> <li>• Establish Performance Management Framework - <b>Achieved</b></li> </ul>

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<p>highlighted in this report are understood through the organisation</p>	<ul style="list-style-type: none"> <li>Member Development Programme approved by Ethical Standards and Member Development Committee</li> </ul> <p><b><u>Corporate Oversight</u></b></p> <p><b>Performance Management</b></p> <ul style="list-style-type: none"> <li>Council approved the Performance Management framework</li> <li>Q1 reporting preparations are underway and on track for Cabinet in September</li> <li>Monthly Budget monitoring is taking place</li> </ul> <p><b><u>Strategic Direction</u></b></p> <p><b>Strategy Development and Refresh</b></p> <ul style="list-style-type: none"> <li><b>Corporate Asset Management Strategy:</b> Target for Cabinet approval in September including surplus assets list. Funding for remaining Workplace Vision components not yet agreed. Implementation of new asset database is on track; however, risk of slippage is significant. Surplus assets portfolio is taking time, however, Cabinet approval to be obtained in the Autumn to commence a detailed review.</li> <li><b>Procurement and Contract Procedure Rules</b> approved in July</li> <li><b>Commercial Strategy:</b> Work ongoing with Commercial Strategy, however, may be delayed due to further iteration before approval. One business stream has been developed, however, others to be revisited.</li> </ul>		<ul style="list-style-type: none"> <li>Corporate Procurement Strategy approved – <b>Achieved</b></li> <li>Commercial Strategy approved – <b>likely to need further iteration before approval</b></li> <li>Regular Resident Survey in place – <b>budget consultation due launched</b></li> <li>Revised PCR, and Sale of Land and Buildings Protocol – <b>approved</b></li> <li>Financial Regs – <b>due for Council approval in December</b></li> <li>Revised Scheme of Delegation – <b>due for</b></li> </ul>

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	<ul style="list-style-type: none"> <li>• <b>HRA Business Plan:</b> On track. Review of compliance and stock data has been completed; however, stock condition surveys are being procured.</li> </ul> <p><b><u>Decision Making</u></b>  <b>Constitution and Governance Framework</b></p> <ul style="list-style-type: none"> <li>• Approval in July to revised procurement and contract procedure rules, thresholds for decisions, sale of land and buildings protocol,</li> <li>• Report to Council in October on Scheme of Delegation, Financial Regulations and Council Procedure Rules</li> </ul> <p><b><u>Procurement &amp; Commercial</u></b>  <b>New System Procurement:</b></p> <ul style="list-style-type: none"> <li>• Asset Management System is procured and is being implemented</li> </ul> <p><b><u>Partnerships &amp; Relationships</u></b>  No actions in this theme</p>		<p>Council approval in October</p>
<p>S3 - Senior leadership, both officers and members, must demonstrate that they can continue to work together effectively, that they operate in line</p>	<p><b><u>Organisational Culture</u></b>  <b>Establishing Organisational Culture</b></p> <ul style="list-style-type: none"> <li>• Consultancy engaged and Champion recruitment underway</li> </ul> <p><b>Officer Learning and Development</b></p> <ul style="list-style-type: none"> <li>• A consolidated approach to fundamental training for Managers on Corporate Governance matters including</li> </ul>	<ul style="list-style-type: none"> <li>• Member Development Programme – Member attendance good to date and positive feedback</li> </ul>	<ul style="list-style-type: none"> <li>• Meeting structures to support Senior Leadership (Officer and Member) confirmed - Due to be achieved within quarter</li> </ul>

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<p>with the Council's values, codes, policies and procedures, and that there is zero tolerance to inappropriate behaviours. This includes changing the organisational culture in relation to complaints so that they restore balance and proportionality.</p>	<p>finance and procurement is being developed for delivery Autumn onwards.</p> <p><b>Officer and Member Relationship</b></p> <ul style="list-style-type: none"> <li>• Regular meetings between Cabinet and Leadership Team in place for Municipal Year</li> <li>• LGA sessions scheduled 6 and 20 September</li> </ul> <p><b>Member Learning and Development</b></p> <ul style="list-style-type: none"> <li>• New Member Induction Complete</li> <li>• Member Development Programme approved and will be regularly reviewed.</li> </ul> <p><b><u>Corporate Oversight</u></b> No Actions in Theme</p> <p><b><u>Strategic Direction</u></b> No actions in Theme</p> <p><b><u>Decision Making</u></b> No actions in Theme</p> <p><b><u>Procurement &amp; Commercial</u></b> No actions in Theme</p> <p><b><u>Partnerships &amp; Relationships</u></b> No actions in Theme</p>		<ul style="list-style-type: none"> <li>• New Member Induction - <b>Completed</b></li> </ul>

